

6H[XDO +DUDVVP HQW
 7LWPH; IRUPDW HU
 FRQQXFW FOOHJH W
 RRU DIWHU \$X X VW

6H[XDO +DUDVVP HQW LRU VLW\ LV PLWWHG WR DIIR
 HPSOR\PHQW DQG HGXFDWLRQ RSSRUWXQLWLHV WR LWV HPSOR\HHV
 DQ HQYLURQPHQW IUHH IURP GLVFULPLQDWLRQ DQG KDUDVVP HQW
 FRPPLWPHQWV ERWK 8QLYHUVLW\ SROLF\ DQG DSSOLFDEOH VWDWH
 VWXGHQWV HPSOR\HHV YROXQWHHUV DQG YLVLWRUV DW WKH 8QLY
 KDUDVVP HQW LQ D 8QLYHUVLW\ HGXFDWLRQ SURJUDP RU DFWLYLW\ D
 6WDWHV
 7KLV SROLF\ DSSOLHV WR VH[XDO KDUDVVP HQW LQ DQ\ SKDVH RI WK
 SURFHVV DQ\ SKDVH RI LWV DGPLVLRQ RU ILQDQFLDO DLG SURJUDP
 HGXFDWLRQ SURJUDPV RU DFWLYLWLHV)RU SXUSRVHV RI WKLW SRO
 VH[XDO KDUDVVP HQW WKDW KDSSHQV RXWVLGH WKH 8QLWHG 6WDWH
 RFFXUV LQ DQ HGXFDWLRQ SURJUDP RU DFWLYLW\ RI WKH 8QLYHUVL
 % 'HILQLWLRQV

6H[XDO +DUDVVP HQW DO KDUDVVP HQW PHDQV FRQGXFW RQ W
 REMHFWLYHO\ RIIHQVLYH WKDW LW HIIHFWLY
 DFFHVV WR WKH 8QLYHUVLW\ V HGXFDWLRQ S
 F 36H[XDO DVVDXOW VH[XDO DFW WKDW FRQVWL
 VRGRP\ VH[XDO DVVDXOW ZLWK DQ REMHFW
 VWDWXWRU\ UDSH DV GHILQHGH EHZ
 L 35DSH' LV WKH FDUQDO NQRZOHGJH RI D S

8QLYHUVLW\ RI 0LVVRXUL +HDOWK 6\ VWHP

-XOLD :DUH 0 ' - ' &3+50 &336

7LWOH ,; &RRUGLQDWRU

\$GGUHV

2QH +RVSLWDO 'ULYH

&ROXPELD 02

7HOHSKRQH

(PDLQDUHMD#KHDOWK PLVVRXUL HGX

,I WKH UHSRUW RI DOOHJHG VH[XDO KDUDVVPHQW LQ D 8QLYHUVLW\

LQYROYHV WKH 8QLYHUVLW\ 7LWOH ,; &RRUGLQDWRU UHSRUWV PD

,; &RRUGLQDWRU ,I WKH UHSRUW LQYROYHV WKH 6\ VWHP 7LWOH ,; &

PDGH WR WKH 6\ VWHP 3UHVVLGHQW 7KH FRQWDFW LQIRUPDWLRQ IRU

2IILFH RI WKH 3UHVVLGHQW

-HVVH +DOO

&ROXPELD 02

7HOHSKRQH

(PDLQSUHVVLGHQW#XPV\ VWHP HGX

127(7KH DERYH OLVWHG FRQWDFW LQIRUPDWLRQ IRU 7LWOH ,; &RRU

QHHGHG DQG ZLWKRXW UHTXLULQJ WKH DSSURYDO RI WKH %RDUG RI

7LWOH ,; 5HVROXWLRQ 3URFHVVU\ LV FRPPLWWHG WR SUHYHQWL

HOLPLQDWLQJ LPSHUPLVVLEOH VH[XDO KDUDVVPHQW LQ LWV HGXFDV

HPSOR\PHQW 7R WKDW HQG WKH 8QLYHUVLW\ PDLQWDLQV SROLFLH

LQYHVWLJDWLRQ DQG UHVROXWLRQ RI FRPSODLQWV RI VH[XDO KDUD

VHH

6HFWLRQ ± 5HVROXWLRQ 3URFHVV IRU 5HVROYLQJ &RPSODLQW

8QGHU 7LWOH ,;

('HVLJQDWHG 2IILFH ORZLQJ DUH WKH RIILFLDOV GHVLJQDWHG E\

WKR VH ZKR KDYH °DXWKRULW\ WR LQVWLWXWH FRUHFWLYH PHDVXU

PDQGDWHG UHSRUWHUV DQG VKDOO UHSRUW WR WKH 7LWOH ,; &RRU

SXWV WKHP RQ QRWL@p€DRRWO U'PQ °4—DQG H

OHVVHU JUDGH WKDQ WKH VWXGHQW¶V DFDGHPLF ZRUN ZDU
VWXGHQW ILOHG D UHSRUW RU)RUPDO &RPSODLQW RI VH[X
ORZHU WKDQ MXVWLILHG SHUIRUPDQFH DSSUDLVDOV EHFDX
ZLWQHVV LQ DQ LQYHVWLJDWLRQ RI DOOHJHG VH[XDO KDUD
WR VSUHDG IDOVH LQIRUPDWLRQ DERXW D SHUVRQ IRU ILO
&RPSODLQW RI VH[XDO KDUDVVPHQW
)DOVH UHSRUWLQJ LV PDNLQJ DQ LQWHQWLRQDO IDOVH UHS
UHODWLRQ WR WKLV SROLF\ DV RSSRVHG WR D UHSRUW RU
HUURQHRXV LV PDGH LQ JRRG IDLWK
7KH 8QLYHUVLW\ SURKLELWV DWWHPSWHG RU DFWXDO LQW
DQ\ SRWHQWLDO 3DUW\ RU ZLWQHVV 1R LQGLYLGXDO SDUW
LQYHVWLJDWLRQ UHODWLQJ WR D UHSRUW RU)RUPDO &RPS
WKLW SROLF\ KDV RFFXUUHG VKRXOG GLUHFWO\ RU WKURX
ZKLFK PD\ LQWHUIHUH ZLWK WKH LQYHVWLJDWLRQ
)RU VLWXDWLRQV LQYROYLQJ DOOHJHG UHWDOLDWLRQ IDO
LQWLPLGDWLRQ RU KDUDVVPHQW WKH 7LWOH ; ; &RRUGLQD
WKH DSSURSULDWH 8QLYHUVLW\ SURFHVV

+ 8 6 'HSDUWPHQW RI (GXFDWLRQ²2IILFH IRU &LYLO 5LJKWV ERQW MUQLQJ WKH
DSSOLFDWLRQ RI 7LWOH ; ; DOVR PD\ EH UHIHUHG WR WKH 8QLWHG
(GXFDWLRQ¶V 2IILFH IRU &LYLO 5LJKWV)RU IXUWKHU LQIRUPDWLRQ
DQG IRU WKH DGGUHVV DQG SKRQH QXPEHU RI WKH 8 6 'HSDUWPHQW
VHUYHV \RXU DUHD FDOO
7KH 6WDWH RI 0LVVRXUL 5HJLRQDO 2IILFH IRU &LYLO 5LJKWV LV ORF
DYDLODEOH WR SURYLGH DVVLVWDQFH

2IILFH IRU &LYLO 5LJKWV
8 6 'HSDUWPHQW RI (GXFDWLRQ
2QH 3HWLFRDW /DQH
:DOQXW UG)ORRU 6XLWH
.DQVDV &LW\ 02
7HOHSKRQH
)\$;
7''
(PDLQ&5 .DQVDV&LW\#HG JRY